

Research Articles: Behavioral/Cognitive

# Increased hippocampal excitability and altered learning dynamics mediate cognitive mapping deficits in human aging

https://doi.org/10.1523/JNEUROSCI.0528-20.2021

Cite as: J. Neurosci 2021; 10.1523/JNEUROSCI.0528-20.2021

Received: 5 March 2020 Revised: 15 January 2021 Accepted: 20 January 2021

This Early Release article has been peer-reviewed and accepted, but has not been through the composition and copyediting processes. The final version may differ slightly in style or formatting and will contain links to any extended data.

Alerts: Sign up at www.jneurosci.org/alerts to receive customized email alerts when the fully formatted version of this article is published.

Copyright © 2021 Diersch et al.

This is an open-access article distributed under the terms of the Creative Commons Attribution 4.0 International license, which permits unrestricted use, distribution and reproduction in any medium provided that the original work is properly attributed.

1	Increased hippocampal excitability and altered learning dynamics mediate cognitive mapping deficits in human aging
2	cognitive mapping dentits in norman aging
3	Running title: Cognitive mapping deficits in human aging
4	Nadine Diersch <sup>1*</sup> , Jose P Valdes-Herrera <sup>1</sup> , Claus Tempelmann <sup>2</sup> & Thomas Wolbers <sup>1,2,3</sup>
5	<sup>1</sup> Aging & Cognition Research Group, German Center for Neurodegenerative Diseases (DZNE), Magdeburg, 39120,
6	Germany
7	<sup>2</sup> Department of Neurology, Otto-von-Guericke University Magdeburg, 39120, Germany
8 9	<sup>3</sup> Center for Behavioural Brain Sciences (CBBS), Otto-von-Guericke University Magdeburg, 39120, Germany
10	*Corresponding Author:
11 12	Dr Nadine Diersch ( <u>nadine.diersch@dzne.de)</u>
13	33 pages
14	8 figures, 1 table, 2 videos
15	Abstract: 156; Introduction: 649; Discussion: 1500 words
16 17	JoN Section: Behavioral/Cognitive
18	CONFLICT OF INTEREST STATEMENT
19	The authors declare no competing interests.
20	ACKNOWLEDGMENTS

- 21 We thank Tobias Meilinger from the MPI for Biological Cybernetics, Tübingen, Germany for providing us with
- their 3D model of the Tübingen city center that served as basis for our virtual environment, Peter Zeidman and
- 23 Gabriel Ziegler for their help with the DCM PEB analysis, Johannes Achtzehn for his help with 3D modeling
- and programming of the experiments, Lena Wattenberg, Judith Siegel, and Katharina Mamsch for assistance
- in data collection.

## 26 AUTHOR CONTRIBUTIONS

- 27 Conceptualization, N.D. and T.W.; Project administration, N.D.; Investigation, N.D.; Resources, T.W. and C.T.;
- 28 Methodology & Formal Analysis, N.D. and J.P.V.-H.; Visualization, N.D.; Writing Original Draft, N.D.; Writing
- 29 Review & Editing, N.D., J.P.V.-H., C.T., and T.W.; Supervision, T.W.; Funding Acquisition, T.W.

# 30 ABSTRACT

Learning the spatial layout of a novel environment is associated with dynamic activity changes in the 31 hippocampus and in medial parietal areas. With advancing age, the ability to learn spatial environments 32 deteriorates substantially but the underlying neural mechanisms are not well understood. Here, we report 33 findings from a behavioral and a fMRI experiment where healthy human older and younger adults of either sex 34 performed a spatial learning task in a photorealistic virtual environment. We modeled individual learning 35 states using a Bayesian state-space model and found that activity in retrosplenial cortex/parieto-occipital 36 sulcus and anterior hippocampus did not change systematically as a function learning in older compared to 37 38 younger adults across repeated episodes in the environment. Moreover, effective connectivity analyses revealed that the age-related learning deficits were linked to an increase in hippocampal excitability. 39 Together, these results provide novel insights into how human aging affects computations in the brain's 40 navigation system, highlighting the critical role of the hippocampus. 41

# 42 SIGNIFICANCE STATEMENT

- 43 Key structures of the brain's navigation circuit are particularly vulnerable to the deleterious consequences of
- 44 aging, and declines in spatial navigation are among the earliest indicators for a
- 45 progression from healthy aging to neurodegenerative diseases. Our study is among the first to
- 46 provide a mechanistic account about how physiological changes in the aging brain affect the
- 47 formation of spatial knowledge. We show that neural activity in the aging hippocampus and medial
- 48 parietal areas is decoupled from individual learning states across repeated episodes in a novel spatial
- 49 environment. Importantly, we find that increased excitability of the anterior hippocampus might
- 50 constitute a potential neural mechanism for cognitive mapping deficits in old age.

# 51 INTRODUCTION

Exploring our surroundings has always been one of the hallmarks of human identity. To do so, we need to 52 rapidly generate spatial representations and flexibly retrieve them later. With advancing age, however, these 53 abilities deteriorate considerably (Lester et al., 2017). Older adults are slower in learning novel environments 54 55 and have problems to utilize this information later (Iaria et al., 2009). Moreover, learning landmark locations during exploratory navigation is more difficult for them (Yamamoto and DeGirolamo, 2012), whereas their 56 spatial memory is relatively preserved for familiar environments (Rosenbaum et al., 2012). As a consequence, 57 58 they may avoid unfamiliar places and become overwhelmed when confronted with changes in their environment. 59

60 Although core regions of the brain's navigation circuit in the medial temporal lobe are among the first to be affected during the progression from healthy aging to Alzheimer's disease (AD; Braak and Del Tredici, 61 2015), the neural mechanisms for age-related deficits in spatial learning are still poorly understood, even in 62 healthy older adults. Studies in rodents and non-human primates showed that place cells in the CA3 subfield 63 of the hippocampus exhibit higher firing rates in aged animals during navigation, and they fail to encode new 64 65 information when rats encounter novel environments (Wilson et al., 2005; Thomé et al., 2016). Moreover, 66 firing patterns of aged CA1 place cells are often unstable across repeated visits to the same environment (Barnes et al., 1997). In humans, in contrast, there is evidence for an age-related hypoactivation in the 67 hippocampus and in medial parietal areas during spatial navigation (Moffat et al., 2006; Konishi et al., 2013). 68

However, whether activity changes in the aging brain are indicative of a compensatory mechanism or
a correlate of aberrant processing is a long-standing issue in cognitive neuroscience research on aging (Grady,
2012; Morcom and Henson, 2018). Evidence from studies investigating age-related impairments in separating
sensory input from mnemonic representations (i.e., pattern separation) suggests that hyperactivity in the
dentate gyrus and CA3 may underlie memory deficits in healthy aging (Yassa et al., 2011; Reagh et al., 2018).
Hippocampal hyperactivity has been further linked to preclinical markers for AD (Leal et al., 2017).

Age-related differences in neural activity may further depend on the point in time when activity is 75 76 measured during task performance. Studies in younger adults showed that the engagement of the retrosplenial cortex (RSC) and the parieto-occipital sulcus (POS) together with the hippocampus changes over 77 78 the course of learning (Wolbers and Büchel, 2005; Auger et al., 2015; Brodt et al., 2016; Patai et al., 2019). For example, Wolbers and Büchel (2005) showed that activity in the RSC/POS tracked the learning of relative 79 80 landmark locations during spatial navigation and increased across learning sessions, whereas hippocampal 81 activity reflected the amount of learning in a given session and decreased over time. Given the time course of 82 its involvement during spatial learning, the RSC has been implicated in the retrieval of hippocampal-83 dependent memories. It receives inputs from CA1 and the subiculum (Kobayashi and Amaral, 2003; Bzdok et 84 al., 2015) and is known to be involved in the integration of different spatial reference frames as well as in 85 updating spatial representations (Epstein, 2008; Miller et al., 2014). The hippocampus, in turn, particularly its 86 anterior portion, is known for its role in generating (spatial) representations (Zeidman and Maguire, 2016). Moreover, place-cell like activity in the RSC of mice relies on intact input from the hippocampus to support 87 88 memory retrieval (Mao et al., 2018).

Here, we report findings from two experiments where we 1) characterized age-related
problems in learning a novel environment, and 2) investigated the underlying neural mechanisms using fMRI.
We focused on activity changes in the RSC/POS and the hippocampus and changes in effective connectivity
within and between the two regions. This allowed us to test whether age-related problems in retrieving newly
learnt information during spatial navigation is linked to a malfunctioning of the integration of hippocampal
input within RSC/POS and/or a corrupted hippocampal signal.

# 95 MATERIAL AND METHODS

## 96 Participants

97 In the behavioral experiment, 17 younger (9 female, mean age: 24.0 ± 1.66, age range: 21-28) and 17 older
98 adults took part (8 female, mean age: 66.4 ± 2.69, age range: 61-72). All of them were right-handed (LQ: 91.9
99 ± 11.0; Oldfield, 1971) and the older adults showed no signs of major cognitive impairment with scores higher
100 than 23 in the Montreal Cognitive Assessment (MoCA score: 26.9 ± 2.18; Nasreddine et al., 2005; Luis et al.,
101 2009).

To determine the required sample size for the fMRI experiment, we ran a power analysis with the 102 effect size that was obtained in the behavioral experiment for the interaction between age group and learning 103 blocks ( $\eta_p^2 = .188$ ), using G\*Power 3.1 ( $\alpha = 0.05$ , 1- $\beta = 0.95$ , 2 groups, 8 repeated measurements; Faul et al., 104 2007). The power analysis further considered the most conservative correction for non-sphericity with 105 1/number of measurements - 1. This analysis indicated a requirement of 28 participants in total. We decided to 106 double this number and recruited a total of 64 participants (27 younger adults, 37 older adults). Three 107 participants (one younger and two older adults) were excluded from further analyses because they were 108 identified as outliers in the fMRI data quality checks. In addition, one younger and three older adults were 109 excluded due to problems in following task instructions and/or cybersickness. The final fMRI sample consisted 110 of 25 younger (13 female, mean age: 23.4 ± 2.18, age range: 20-26) and 32 older adults (17 female, mean age: 111 67.3 ± 4.80, age range: 58-75). They were all right-handed (LO: 90.4 ± 12.1; Oldfield, 1971) and the older adults 112 did not show signs of major cognitive impairment (MoCA score: 27.6 ± 1.93, range: 25-31; Nasreddine et al., 113 114 2005).

Across experiments, participants had normal or corrected-to-normal vision and none of them reported a history of psychiatric or neurological diseases or use of medication that might affect task performance or MRI scanning. In addition, most of the participants already participated in previous virtual reality (VR) experiments and, hence, were familiar with navigating in these kinds of setups. Participants provided informed consent and were paid for their participation in accordance with the local ethics committee.

121 Virtual Environment

Using 3ds Max (Autodesk, San Rafael, CA, USA), a novel virtual environment (VE) was developed, which
 resembled a typical German historic city center consisting of town houses, shops and restaurants. The VE had
 a square-like spatial layout with four interconnected 4-way intersections (Figure 1B). At two intersections, a

church and a town hall were placed at the end of one of the outgoing streets, whereas a 2D wall displaying a
 photo texture of a street continuation bordered the remaining street ends. The VE was based on a 3D model of
 the old city center of Tübingen. All of the participants confirmed to have never visited Tübingen before the
 time of testing.

## 129 Experimental Design and Procedure

Vizard 5.0 (World Viz, Santa Barbara, CA, USA) was used to animate the experiments, which both started with 130 a familiarization phase during which the participants encountered the VE for the first time. Their task during 131 132 this phase was to collect tokens that were placed at the street ends by actively traveling the VE, using the four arrow keys of a standard computer keyboard. This phase ended once every token was collected, ensuring that 133 they had visited every street at least once. It followed a short practice of the pointing task (8 trials) that was 134 used to measure navigational retrieval in the experiments. In this way, the VE and the task were introduced in 135 a step-wise manner to reduce the impact of different degrees of experience in handling VR setups on 136 task performance (Diersch and Wolbers, 2019). 137

In the behavioral experiment, eight learning blocks were implemented during which eight retrieval 138 phases alternated with seven encoding phases. One navigational retrieval phase consisted of 12 pointing 139 trials. A pointing trial started with participants being passively transported towards one of the intersections 140 141 starting from one of the four streets leading towards that intersection (Figure 1C, see Video 2 for an example trial). Duration of this travel phase was fixed to 4 s corresponding to 20 virtual meters. The 142 143 movement stopped at the center of the intersection, a red crosshair appeared in the middle of the screen, and participants were asked to point in the direction of one of the two target landmarks. Pointing was performed 144 by moving the crosshair to the left or right with the arrow keys of the keyboard. Once they believed to have 145 reached the correct position, they confirmed their response by pressing the space bar. Participants were asked 146 to respond as fast and accurately as possible with a time-out of 12 s (corresponding to 1½ 360° turns in the 147 148 VE). The ITI, showing a fixation cross, was fixed to 1.5 s. Throughout each trial, a picture cue of the target landmark was displayed at the bottom of the screen and the background was obscured by fog to prevent 149 participants from seeing the street ends or target landmarks during pointing. The first seven retrieval phases 150 were followed by an encoding phase during which participants were passively transported around the whole 151 VE (without fog), starting from one of the two target landmarks in clockwise or counterclockwise order, 152 counterbalanced across the experiment (see Video 1 for a short segment of one tour). During encoding, 153 participants were instructed to pay close attention to the spatial layout of the VE and the location of the target 154 landmarks. Passive transportation instead of self-controlled traveling was chosen to ensure that every 155 156 participant experienced the VE for the same amount of time (duration: 2.88 min per tour). In total, participants performed 96 navigational retrieval trials (4 intersections x 4 directions x 2 target landmarks x 3 repetitions) in 157 158 a pseudo-randomized order, with the restriction that each intersection/target landmark combination was encountered starting from two of the four possible directions in the first half of the experiment. In the second 159 160 half of the experiment, divided by a self-timed break, the respective other two directions were used, counterbalanced across participants. This allowed us to examine how experiencing familiar locations from a 161 162 novel viewpoint affects pointing performance.

<u>JNeurosci Accepted Manuscript</u>

163 The fMRI experiment also consisted of eight learning blocks during which eight retrieval phases 164 alternated with seven encoding phases (see Figure 1A for the structure of the fMRI experiment). fMRI 165 scanning started after a familiarization phase outside of the scanner with the same structure as in the 166 behavioral experiment and a short practice phase during structural imaging. One retrieval phase consisted of 8 167 navigational retrieval trials, which were followed by 4 control trials. These control trials also started with a 4 s 168 travel phase towards an intersection, followed by a pointing phase with a crosshair on screen. Here, cued by a 169 corresponding picture, however, participants were instructed to indicate which of the four corner buildings at the intersection had changed its color and was shaded in blue. Their responses in the control task were 170 classified as correct if they were within ± 25° from the middle of the respective building, approximately 171 corresponding to its outline. Participants moved the crosshair with their index and middle finger for left and 172 right turns and confirmed their responses with their right thumb on a 5-key Lumitouch response box. Again, 173 participants were asked to respond as fast and accurately as possible with a time-out of 12 s. The ITIs had a 174 175 variable duration of 1-5 s with a mean of 3 s. During retrieval trials, an additional jittered interval of 0.5-1.5 s 176 duration with a mean of 1s was included after the travel phase/before the crosshair appeared. The structure of 177 the respective encoding tours was the same as in the behavioral experiment (passive traveling with a constant 178 duration of 2.88 min per tour). In total, participants performed 64 navigational retrieval trials (4 intersections x 4 directions x 2 target landmarks x 2 repetitions) without the change of directions from the first to the second 179 half of the experiment as in the behavioral experiment. The change in directions was omitted in the fMRI 180 environment to eliminate the potential influence of approaching the intersections from novel viewpoints and 181 to accommodate a reduced number of trials due to the inclusion of the control task. In total, participants 182 performed 32 control trials (4 intersections x 4 directions x 2 repetitions). fMRI scanning consisted of 3 runs 183 that were divided by short breaks with 24 navigational retrieval trials, 12 control trials and 2 encoding tours in 184 the 1<sup>st</sup> run; 24 navigational retrieval trials, 12 control trials and 3 encoding tours in the 2<sup>nd</sup> run; and 16 185 186 navigational retrieval trials, 8 control trials and 2 encoding tours in the 3<sup>rd</sup> run.

187

## --- insert Figure 1 here ---

188 Bayesian Modeling of Performance Data

189 In both experiments, subject-specific improvements in navigational performance were estimated by using a Bayesian implementation of a state-space model that is similar to a local level model where 190 the trial outcomes, y, correspond to the observed level, and the state level represents the hidden 191 learning state,  $\mu$  (Figure 2; Commandeur and Koopman, 2007). The hidden learning state,  $\mu$ , is 192 following a random walk such that the actual block learning state depends on the learning state from 193 the previous block. Similar state-space models (e.g., Smith et al., 2007) have been used in previous 194 195 studies to estimate spatial learning (Wolbers and Büchel, 2005; Auger et al., 2015). However, these studies modeled binary data on a trial-by-trial basis, whereas the present study used continuous 196 performance outcomes and focused on estimating spatial learning block-wise instead of trial-wise. To 197 198 model the learning state block-wise, an intermediate level accounts for the effects of the responses, n, and shrinks the effects of individual trials within a block towards the block-wise learning state. In this 199 way, the model accounts for the fact that we can only measure behavioral performance but not the 200

213

201 effect of learning or navigational improvement, which we expected to change from one encoding phase to the next but not necessarily from trial to trial. Introducing this intermediate level additionally 202 allowed us to incorporate potential missing trials into the response effects, n. In case of missing trials, 203 204 we estimated  $\eta \sim$  HalfNormal (log( $\bar{y}_b$ ),1), i.e., using the log of the block mean as location parameter. The model was implemented using the Python interface to Stan, PyStan (Carpenter et al., 2017; Stan 205 206 Development Team, 2017; see Figure 2-1 for the Stan code). To account for the substantial betweenand within subject variability of the data, weakly informative priors were chosen to provide vague 207 guidance for effective sampling. The model was fit for each participant using four chains each with 208 4000 iterations, of which 2000 correspond to the warm up period, totaling 8000 post-warm-up draws. 209 After inference, convergence of the chains was checked by means of the effective sample size and 210 the potential scale reduction factor (Rhat), confirming that our chains mixed well (Gelman and Shirley, 211 2011). 212

## --- insert Figure 2 here ---

To determine the fit of our model to the data, we performed a posterior predictive check that compares the 214 observed data with simulated data using samples from the posterior distribution. In Figure 2-2A-E, the 215 posterior predictive samples distribution  $y_{rep}$  is plotted together with the observed data y for representative 216 individuals from different learning sub-groups in the fMRI experiment (see Performance Clustering section) 217 218 showing that our model was adequate to capture the observed data. We further compared our model to an 219 alternative, simpler model where n was removed (i.e., learning was estimated trial-wise instead of block-wise). Using a leave-one-out (LOO) cross-validation (Vehtari et al., 2017), point-wise out-of-sample prediction 220 accuracies were estimated for both models. Comparing them confirmed that the model incorporating the 221 intermediate layer accounting for the response effects, n, provided better fit to the data, as evidenced by 222 positive LOO differences across the whole sample (sample mean = 1209, SE = 242; see Figure 2-2F for a 223 histogram showing the individual LOO difference values). 224

## 225 fMRI Acquisition Parameters

Scanning was performed on a 3T Magnetom Prisma scanner (Siemens Healthcare, Erlangen, Germany) with a
20-channel head coil. High-resolution T1-weighted anatomical images were acquired using a MPRAGE
sequence (1 mm isotropic resolution; TE = 2,82 ms; TR = 2500 ms; flip angle = 7°). In three functional runs,
whole-brain T2\*-weighted echo planar images with BOLD contrast were acquired in interleaved bottom-up
order (36 slices, 3 mm isotropic resolution; TE = 30 ms; TR = 2000 ms; FoV = 216 mm; 72 x 72 image matrix; flip
angle = 90°).

## 232 Behavioral and fMRI statistical analyses

## 233 <u>Behavioral Analyses</u>

234 Absolute pointing errors (i.e., the deviation of the subject's response from the direction towards the respective

- 235 target landmark) served as performance measures in both experiments. In the behavioral experiment, we
- additionally analyzed response times given the change in directions from which the intersections were

In a control analysis, we checked for potential biases in pointing behavior by applying circular statistics on the 239 240 signed pointing error data relative to each target landmark for every intersection-direction combination, using the CircStat toolbox in MATLAB (Berens, 2009). In general, a threshold of p < 0.05 was considered significant 241 242 (with correction for the number of tests where applicable). 243 Logistic Regression Model With respect to the behavioral experiment, we were interested in whether two features that characterized 244 age-related differences in performance could be used to predict the age group of our participants. The first 245 246 feature was the mean amount of learning across all learning blocks, which was calculated based on the differences between individual learning state estimates, derived from the Bayesian state-space model, from 247 248 two consecutive learning blocks. The estimates from the first learning block after the familiarization phase, during which participants encountered the VE for the first time, were subtracted from chance level 249 performance (90°). In this way, learning related improvements in performance were considered that already 250 251

237

238

took place during the familiarization phase, resulting in pointing errors well below chance level in the first learning block for some participants. The second feature were the changes in response times after the 252 directions changed from which the intersections were approached after the first half of the experiment. These 253 two features were normalized and then fed into a logistic regression model as implemented in Scikit-learn 254 (Pedregosa et al., 2011), with age group as target vector. The regularization parameter was set using a 10-fold 255 256 nested cross-validation, and the performance of the model was assessed by computing the average area under the curve (AUC) for all folds. In this way, the probability of each individual belonging to the younger or 257 258 the older age group could be estimated. The resulting probabilities are interpreted in terms of individual performance: those participants with a higher probability of belonging to the younger age group show better 259 performance on the task while a higher probability of being in the older age group relates to poorer 260 navigational performance. 261

approached after the first half of the experiment. Where appropriate, analyses of variance (ANOVA) were

performed across learning blocks with age-group (younger adults, older adults) as between-subjects' variable.

262 Performance Clustering

263 In the analysis of the behavioral data from the fMRI experiment, we assessed whether subjects could be 264 clustered into different learning sub-groups based on their performance. This allowed us to investigate 265 learning-related differences in neural activity at the between-subjects level. For each participant, we created a 266 distribution based on the difference of the latent state distributions of the last and first learning block to 267 capture the overall amount of learning across the experiment. The mean and the standard deviation 268 parameters of this difference distribution were obtained by fitting it to a normal distribution using SciPy 269 (Jones et al., 2001). In this way, the clustering provides a richer source of information to distinguish between 270 different learning sub-groups. For example, taking only the steepness of the curve across learning blocks into 271 account, would not capture differences between very good learners, who learned most of the spatial layout already during familiarization, and very bad learners, with both groups exhibiting flat learning curves. 272 However, they may differ in the uncertainty of their judgments, which is captured by the dispersion of the 273

274 difference distribution. We used a K-means clustering algorithm as implemented in Scikit-learn (Pedregosa et

275 al., 2011) to identify the centers of a pre-determined number of clusters based on their distances to the data 276 points. To obtain the optimal number of clusters to input into the K-means, we varied the number of possible clusters from 3 to 7 and computed the mean Silhouette Coefficient of all samples per cluster as a measure for 277 278 the distance between the resulting clusters with values ranging from -1 to 1 (negative values would indicate wrong cluster assignments and values near zero overlapping clusters). We found 5 to be the best choice for the 279 280 number of learning sub-groups in our sample (respective silhouette scores per tested cluster number: 3: 0.259, 281 4: 0.395, 5: 0.457, 6: 0.429, 7: 0.410). One should note that the results of this data-driven approach to 282 characterize the heterogeneity within the two age groups are specific to our sample and cannot be generalized to the whole population. Different samples of younger and older adults might result in different 283 learning clusters due to different performance levels. 284

## 285 <u>fMRI Image Quality Control and Preprocessing</u>

286 The imaging data were first transformed into the Brain Imaging Data Structure (BIDS) format (Gorgolewski et al., 2016). MRIQC (Version 0.9.3; Esteban et al., 2017) was used for checking the quality of the MRI data. 287 288 MRIQC utilizes tools from different software packages such as FSL or Advanced Normalization Tools (ANTs) to extract image quality metrics (IQMs) and generates visual reports at the individual and group level. This 289 allows the evaluation of different characteristics of the structural and functional MR images, for example, 290 SNR/tSNR, sharpness, and presence of artifacts. Data from one younger adult and two older adults were 291 consequently excluded from further analyses due to strong task-related movement and/or artifacts in several 292 functional runs resulting in low-quality IQMs (e.g., high Ghost-to-Signal ratio, low tSNR). Next, motion 293 correction, slice timing, co-registration, and normalization of the images was performed using fMRIprep 294 version 1.0.0-rc5 (Esteban et al., 2019) that also draws on different software packages to provide the optimal 295 296 implementation for different stages of preprocessing. For example, normalization to MNI space was performed using ANTs as a state-of-the-art medical image registration and segmentation toolkit. Finally, the 297 data were smoothed with a 6 mm full-width at half maximum isotropic Gaussian kernel using SPM 12 298 (Wellcome Department of Imaging Neuroscience, London, UK). 299

## 300 <u>ROI Definition</u>

301 Based on results from previous studies (Wolbers and Büchel, 2005; Auger et al., 2015; Mao et al., 2018), we 302 defined two regions of interest (ROI), namely, the RSC/POS and the hippocampus. The single ROIs were created based on each participant's T1 structural scan using a semiautomated anatomic reconstruction and 303 labeling procedure as implemented in FreeSurfer v6.o.o (http://surfer.nmr.mgh.harvard.edu; Dale et al., 1999; 304 Fischl et al., 1999). In each hemisphere, labels corresponding to the posterior-ventral part of the cingulate 305 306 gyrus (area 10) and the parieto-occipital sulcus (area 65) from the Destrieux Atlas and the hippocampus from 307 the subcortical segmentation were extracted (Fischl et al., 2002; Destrieux et al., 2010). The two cortical labels 308 were combined into one RSC/POS ROI. Each ROI was next transformed to MNI space. Hemispheres were combined to one bilateral ROI, thresholded at 0.5, and finally resampled to correspond to the resolution of our 309 functional images. The ROIs were subsequently used in the univariate analysis and for the volumes of interest 310 (VOI) extraction in the effective connectivity analysis. In our definition of the hippocampus ROI, we did not 311 312 separate between anterior and posterior hippocampus because previous fMRI studies have not reported a

clear dissociation along the hippocampal long axis during navigation in healthy aging. Hence, strong a-priori
 hypotheses about a potential anterior-posterior dissociation seemed unwarranted. Distinctions between
 anterior or posterior hippocampus in the results presentation refer to the location of the clusters we obtained
 in our analyses with foci at or anterior to y = -21 mm in MNI space being regarded as belonging to the anterior
 hippocampus (Poppenk et al., 2013).

## 318 <u>fMRI Univariate Analysis</u>

319 At the single-subject level, a general linear model (GLM) was specified with six regressors of interest for each learning block using a high-pass filter of 100 Hz. For the navigational as well as control retrieval trials, we 320 created regressors for the 4 s travel phase and the pointing phase. For the encoding phases, regressors 321 modeled periods when participants were located within 20 m of the intersection centers (corresponding to the 322 area covered during the retrieval travel phases) as well as outside of these areas. Finally, the time of the 323 324 button press was modeled as regressor of no interest. All regressors were convolved with the standard canonical hemodynamic response function (HRF) in SPM12. In addition, we included motion parameters, the 325 frame-wise displacement (FD) and aCompCor values (Behzadi et al., 2007), as obtained from fMRIprep 326 preprocessing, in the GLM to control for physiological and movement confounds. In aCompCor, significant 327 328 principal components are derived from noise regions-of-interest (ROI) in which the time series data are unlikely to be modulated by neural activity. In this way, potential confounding effects of physiological 329 fluctuations that may differ between age groups, such as cardiac pulsations and respiration-induced 330 modulations, are removed from the fMRI time-series. 331

We focused on interaction effects between conditions of interest and age group that are unlikely to 332 be driven by group differences in neurovascular coupling, unlike main effects of age (Rugg and Morcom, 2005; 333 see also Grinband, Steffener, Razlighi, & Stern, 2017). First, we contrasted navigational retrieval trials to 334 control trials to identify general activation patterns in the RSC/POS and the hippocampus during spatial 335 navigation in our complex real-world environment, similar to previous studies investigating age-group 336 differences in spatial navigation (Moffat et al., 2006). We additionally contrasted the travel phases towards 337 338 the intersections during navigational retrieval trials to the corresponding periods when participants 339 encountered the same areas during the encoding tours. The within-subject effects of learning were assessed by using the normalized differences between learning state estimates (i.e., the outputs from the Bayesian 340 state-space model) from consecutive learning blocks (i.e., amount of learning) as contrast weights over the 341 regressors modeling each travel phase during navigational retrieval per learning block (cf., Wolbers and 342 Büchel, 2005). At the group level, the resulting individual contrast images were entered into two-sample t-343 tests to assess interactions with age group. Finally, in order to check in which regions activity changes across 344 learning blocks are modulated by the overall learning ability of the individual, we ran an additional analysis in 345 346 which learning sub-group was added as covariate in the age-group comparisons at the second-level. All contrasts were evaluated at p < .001 (uncorrected) and we report activations that survived the FWE-correction 347 348 for multiple comparisons using a threshold of p < 0.05 at the cluster level.

In a control analysis, we checked whether learning-related changes within the two ROIs could
 alternatively be driven by spatial computations in which older and younger adults engage in differently over

<u>JNeurosci Accepted Manuscript</u>

351 the course of the experiment. We fitted a separate finite impulse response (FIR) GLM for each participant with the same regressors of interest as in our main GLM described above. The FIR model was set up with eight time 352 bins (2 s duration each, total time window:16 s) as a basis function for the HRF, and FIR time courses (percent 353 signal change per time bin) were extracted within both the hippocampus and the RSC/POS ROIs for the 354 regressors modeling the eight travel phases using MarsBar (Brett et al., 2002). For each participant, we then 355 356 determined the time bin when the HRF reached its peak, separately for the beginning of learning (first four learning blocks) and the end of learning (last four learning blocks). This approach allowed us to test (i) whether 357 358 the HRF reached its peak at different time points in the first vs. the second half of the experiment, and (ii) whether this time-to-peak differed between age groups. 359

## 360 Effective Connectivity Analysis

Effective connectivity within and between the hippocampus and the POS was examined using the parametric
 empirical Bayesian (PEB) approach in the context of Dynamic Causal Modeling (DCM) as implemented in
 SPM12 (Friston et al., 2016).

364 GLM and VOI Selection. For the DCM analysis, we created a GLM in which the time-series from our three 365 functional runs were concatenated and added regressors that modeled the mean signal for each run. The 366 amount of learning per learning block was included as parametric modulation of the regressor modeling the travel phase during navigational retrieval trials for each participant. All other regressors were the same as in 367 368 the first GLM although they were not modeled separately for each learning block. The sanity check of the 369 concatenated GLM revealed that activity in the right anterior hippocampus (27, -9, -15, Z = 3.97; 27 voxels) decreased and activity in the left POS (-12, -63, 31, Z = 3.56; 42 voxels) increased with the amount of learning 370 371 in younger adults (p < 0.05, FWE-corrected for the respective ROI). No additional activations emerged elsewhere in the brain. When testing for interactions between learning-related activity changes and age group 372 within our ROIs, one cluster within bilateral POS extending to RSC was revealed (15, -66, 44, Z = 4.23; 12, -57, 373 4, Z = 4.21; -6, -66, 24, Z = 3.94; -15, -60, 28, Z = 3.61; 369 voxels). Thus, activity in this region increased with 374 learning in younger adults but less so in older adults. The slight differences of these results to the ones from 375 376 the first GLM are likely related to differences in the design of the two GLMs. Whereas the first GLM was optimized to capture our experimental design as precisely as possible by modeling all regressors of interest 377 378 separately for each learning block, the concatenated GLM was optimized for the DCM analysis that relies on single-run time-series. 379

380 BOLD time-series were extracted for each individual using a t-contrast over the regressors modeling 381 the travel phase during navigational retrieval and the amount of learning with a liberal threshold of p < 0.1 382 (Note that this threshold was only used for VOI selection, but not in the final DCM statistics). The principal 383 eigenvariate was extracted around the group peak coordinates within the hippocampus and POS as obtained 384 in the univariate analysis of the concatenated GLM and was allowed to vary as an 8 mm sphere centered on 385 the subject-specific maximum constrained by a 24 mm sphere centered on the group maximum and the 386 respective ROI mask. In this way, variation between individuals in the exact location of the effect was considered, given the high heterogeneity in our sample and slightly different peak voxels in the two GLMs. 387 388 The extractions were corrected using an F-contrast that retained the effects of interest (navigational as well as control retrieval phases, encoding phases, button press) while partitioning out task-unrelated variance caused
by head motion, for example. For participants for which no supra-threshold voxels were identified (three
younger adults and one older adult), the threshold was lowered to p < 0.5 to extract BOLD time-series (cf.,</li>
Zeidman et al., 2019b).

First-Level DCM Specification. We specified a bilinear, one-state DCM for each participant by setting the 393 regressor modeling the travel phase during navigational retrieval trials as driving input entering the cortical 394 network via the POS. The amount of learning per learning block was included as modulatory input on the 395 bidirectional connections between hippocampus and POS. All inputs were mean-centered so that the A-396 matrix of the DCM represents the average connectivity across experimental conditions. We used stochastic 397 DCM that seeks to improve model estimation by modeling random fluctuations and hidden neuronal causes in 398 the differential equations of the neuronal states (Li et al., 2011; Daunizeau et al., 2012). In this way, the impact 399 of potential confounding effects of variations in BOLD response caused by age is reduced. Bayesian group 400 inversion was performed, providing estimates of the connection strength parameters that best explained the 401 observed data per participant. Critically, within DCM PEB, at each iteration of the within subject inversion, the 402 individual priors are updated using the group average connection strengths as priors. Inspection of the single 403 DCMs after inversion confirmed that our full model provided good fit to the observed data with an average of 404 44.5 ± 3.22% variance explained. 405

406 Second-Level PEB Model. Next, we created a second level PEB model over the parameters that included the group mean and age group as covariates to identify differences between younger and older adults. We further 407 408 included learning sub-group and its interaction with age group as covariates in the model. The interaction term was modelled as the two main effects of age and learning group element-wise multiplied with the main 409 410 effects being mean-centered and coded in a way that low/negative values represent younger or better 411 performing individuals. A search over nested PEB models was performed by using Bayesian model comparison 412 (BMC) that explores a space of models under the assumption that different combinations of the connections may exist across participants (Zeidman et al., 2019a). To search over hundreds of nested models incorporating 413 different combinations of connections and group differences, Bayesian model reduction (BMR) was used that 414 415 iteratively prunes parameters from the full model until model-evidence decreases. To reduce dilution of 416 evidence, we separately checked for group differences in the A-matrix (average connectivity across experimental conditions) and the B-matrix (within-subject modulatory input of the amount of learning per 417 block). We further performed a LOO cross-validation to check whether the model parameter that differed 418 between older and younger adults could be used to predict the participants' age group. 419

420 Data Availability

421 Source data files for the main results figures and tables are stored at https://osf.io/fjbxu/. We additionally

422 provide a key resources table listing all the software packages that were used in the current study. The Stan

423 code of the Bayesian state-space model can be found in Figure 2-1.

# 424 RESULTS

<u>JNeurosci Accepted Manuscript</u>

425 Findings are reported from two separate samples comprising healthy younger and older adults who 426 performed a spatial learning task either purely behaviorally (17 younger adults and 17 older adults) or in a combined fMRI-behavioral experiment (25 younger adults and 32 older adults). In both experiments, following 427 428 an initial familiarization phase before testing/outside of the scanner, eight learning blocks were implemented during which eight retrieval phases alternated with seven encoding phases. We used the angular deviation of 429 430 the participants' response from the respective target landmark (i.e., absolute pointing errors) to measure performance improvements across learning blocks. However, performance in these kinds of tasks can be 431 corrupted by various noise sources and, hence, might not accurately reflect the actual learning state of the 432 participant. Therefore, subject-specific improvements in navigational performance were estimated by using a 433 434 Bayesian implementation of a state-space model that disambiguated learning from random trial-by-trial fluctuations in performance. We used the outputs of the model in the analysis of the fMRI data to examine 435 intra- and interindividual differences in learning. 436

## 437 Behavioral experiment

# 438 Lower performance and reduced learning in older adults

An ANOVA with learning block (1-8) as repeated measures variable and age-group (younger adults, older 439 adults) as between-subjects variable on the average absolute pointing errors showed significant main effects 440 of learning block, F(7, 224) = 19.5, p < .001,  $\eta_p^2 = .379$ , and age group, F(1, 32) = 85.2, p < .001,  $\eta_p^2 = .727$ . This 441 was modulated by a significant interaction between the two factors, F(7, 224) = 7.40, p < .001,  $\eta_p^2 = .188$ . At the 442 beginning, both age groups performed around chance level (90°), even though older adults had spent 443 significantly more time than younger adults ( $M_{old} = 534 \text{ s} \pm 161 \text{ s}$ ;  $M_{young} = 218 \text{ s} \pm 41.4 \text{ s}$ ; t(16.9) = -7.63, p < .001, 444 d = 2.69) in the initial familiarization phase of the experiment, during which they encountered the VE for the 445 446 first time. Over the course of the experiment, however, older adults showed lower performance and less improvement compared to younger adults (Figure 3A). The change in direction from the first to the second 447 half of the experiment did not have a major effect on this pattern of results as implied by a non-significant 448 interaction between learning block and age group when directly comparing the fourth and fifth learning block, 449 450 F(1, 32) = 1.96, p = .171,  $\eta_n^2 = .058$ . A separate ANOVA within the older age group on pointing performance per learning block confirmed that older adults generally improved on the task over time as evidenced by a 451 significant main effect of learning, F(7, 112) = 2.58, p = .017,  $\eta_0^2 = .139$ . According to the outputs of the 452 Bayesian state-space model (Figure 3C, see Figure 3-1A-B for average pointing errors per learning block for 453 454 each participant), most of the younger adults learned the spatial layout of the VE very fast, reaching ceiling performance already after the first few learning blocks. The older adults, in contrast, differed more widely in 455 456 their ability to learn.

457To investigate potential biases in pointing behavior that might differ between the age groups, such as458an increased tendency to point along streets, circular statistics were applied on the signed pointing error data459relative to each target landmark for every intersection-direction combination. From the 32 age-group460comparisons (4 intersections x 4 directions x 2 target landmarks), only 7 reached significance as determined by461a Watson-Williams test, all  $p \le .047$ . Older adults showed larger deviation from the correct angle than younger

adults in 6 of the 7 instances. The direction of the deviations in pointing (e.g., to the left or right relative to the
target landmark), however, varied and none of the effects survived when correcting for multiple comparisons.

464 Higher uncertainty when viewpoints are changing in older adults

An ANOVA with learning block (1-8) as repeated measures variable and age group (younger adults, older 465 adults) as between-subjects variable on the response time data confirmed significant main effects of learning 466 block, F(7, 224) = 9.26, p < .001,  $\eta_p^2 = .225$ , and age group, F(1, 32) = 10.5, p = .003,  $\eta_p^2 = .247$ . Compared to 467 468 older adults, younger adults responded quicker and showed a steeper decline in response times over time as revealed by a significant interaction between learning block and age group, F(7, 224) = 4.29, p = .001,  $\eta_p^2 =$ 469 .118. Notably, when comparing the fourth and fifth learning blocks, a significant interaction between learning 470 block and age group was obtained, F(1, 32) = 9.34, p = .004,  $\eta_p^2 = .226$ . Older but not younger adults showed a 471 substantial increase in response times in the fifth learning block when the intersections were encountered 472 473 from novel directions (Figure 3B). This result cannot be explained by a confound between pointing performance and required turning at the intersections because the required amount of turning to perform 474 accurately on the task varied from trial to trial, depending on the specific intersection-direction-target 475 476 landmark combination. Moreover, it was kept constant across experiment halves and participants (average turning direction: 135°). When considering the fourth and fifth block only, the correct turning angle did not 477 478 differ between blocks, age groups, or varied between age groups as a function of learning block, all F ≤ 3.23, p  $\geq$  .082,  $\eta_0^2 \leq$  .092. Thus, older adults' representations of the spatial layout of the environment seem to be 479 more rigidly tied to the sensory input encountered at the beginning of learning, leading to a temporary 480 481 uncertainty when viewpoints are suddenly changing.

482

## --- insert Figure 3 here ---

483 Performance in older adults is partly influenced by their facing direction

Age-related differences in pointing performance depending on the nature of the trials during navigational 484 retrieval (i.e., respective intersection-direction-target landmark combination) were further analyzed by means 485 of an ANOVA on the absolute pointing errors with intersection (l1-l4), direction (D1-D4), and target landmark 486 (town hall, church) as repeated measures variables and age group (younger adults, older adults) as between-487 488 subjects variable. A significant interaction between the four factors suggested that the performance of the age groups was modulated by the respective intersection-direction-target landmark combination 489 encountered in the VE during retrieval, F(9, 288) = 2.05, p = .034,  $\eta_p^2$  = .060. Therefore, follow-up ANOVAs 490 were conducted within the two age groups separately. In younger adults, a significant main effect of 491 intersection, F(3, 48) = 6.18, p = .005,  $\eta_p^2$  = .279 (Greenhouse-Geißer corrected), showed that performance was 492 worse when they were located at I4 (M =  $48.6^{\circ} \pm 21.9^{\circ}$ ) as compared to I1 (M =  $25.0^{\circ} \pm 19.7^{\circ}$ ) or I2 (M =  $30.6^{\circ} \pm 21.9^{\circ}$ ) 493 25.7°), all p ≤ .010 (Bonferroni-corrected). This was modulated by a significant interaction between 494 intersection and target landmark, F(3, 48) = 11.4, p < .001,  $\eta_p^2$  = .416. Pointing errors were smaller in this age 495 group when they pointed towards the town hall (M =  $13.5^{\circ} \pm 14.5^{\circ}$ ) as compared to the church (M =  $36.6^{\circ} \pm 14.5^{\circ}$ ) 496 29.3°) at l1, which was the intersection adjacent to the town hall, and vice versa at l3, which was the 497 498 intersection adjacent to the church (town hall:  $M = 45.5^{\circ} \pm 35.5^{\circ}$ ; church:  $M = 25.8^{\circ} \pm 26.9^{\circ}$ ), all  $t \ge 3.33$ ,  $p \le 10^{\circ}$ 

499	.004, d $\ge$ 0.807. The directions from which the intersections were approached did not have an influence on
500	performance in this age group, all F $\leq$ 1.80, p $\geq$ .133, $\eta_p^2 \leq$ .101. In older adults, there was also an interaction
501	between intersection and target landmark, F(3, 48) = 3.38, p = .026, $\eta_p^2$ = .174. When located at I3, pointing
502	errors were smaller when the target landmark was the adjacent church (M = 73.2° ± 27.4°) as compared to the
503	town hall (M = 94.2° ± 19.9°), t(16) = 2.73, p = .015, d = 0.662. The corresponding comparison for I1 did not
504	reach significance, t(16) = 1.12, p = .281, d = 0.271. In addition, there was a significant interaction between
505	direction and target landmark, F(3, 48) = 3.75, p = .039, $\eta_p^2$ = .190 (Greenhouse-Geißer corrected). Post-hoc t-
506	tests indicated that pointing towards the town hall (M = 80.3° ± 18.1°) tended to be easier as compared to
507	pointing towards the church (M = $94.5^{\circ} \pm 27.1^{\circ}$ ) for the older adults when they approached the intersections
508	from D4 (i.e., facing east), t(16) = 1.96, p = .068, d = 0.475. In contrast, pointing towards the church (M = 69.9°
509	± 19.6°) tended to be easier than pointing towards the town hall (M = 87.0° ± 23.3°) when they approached the
510	intersections from D2 (i.e., facing west), t(16) = 1.99, p = .064, d = 0.483. This was modulated by an interaction
511	between intersection, direction, and target landmark, F(9, 144) = 2.25, p = .022, $\eta_p^2$ = .123. Separate follow-up
512	ANOVAs for each intersection with direction (D1-D4) and target landmark (town hall, church) as repeated
513	measures variables revealed for I2 a main effect of direction, F(3, 48) = 5.25, p = .003, $\eta_p^2$ = .247, indicating that
514	pointing generally seemed to be easier from D2 (i.e., facing west; M = 68.8° ± 32.7°) as compared to D1 (M =
515	94.0° $\pm$ 24.5°) or D4 (M = 95.8° $\pm$ 37.1°), that is, when they were facing towards the dead-ends at this
516	intersection, all p $\leq$ .054 (Bonferroni-corrected). At I3, a main effect of target landmark indicated that pointing
517	towards the adjacent church (M = $73.2^{\circ} \pm 27.4^{\circ}$ ) was easier for the older adults than pointing towards the town
518	hall (M = 94.2° ± 20.0°), F(3, 48) = 7.47, p = .015, $\eta_p^2$ = .318. This was modulated by an interaction between
519	direction and target landmark, F(3, 48) = 4.44, p = .008, $\eta_p^2$ = .217. Pointing towards the church was easier
520	when coming from D1 (i.e., facing south; town hall: M = 107.7° $\pm$ 31.5°; church: M = 65.6° $\pm$ 36.0°) or D2 (i.e.,
521	facing west; town hall: M = 98.0° ± 39.4°; church: M = 52.6° ± 40.8°), all t ≥ 3.19, p ≤ .006, d ≥ .773. Finally, at I4,
522	there was also an interaction between direction and target landmark, F(3, 48) = 3.74, p = .017, $\eta_p^2$ = .190.
523	Performance was better when participants pointed towards the church (M = $75.9^{\circ} \pm 30.8^{\circ}$ ) as compared to the
524	town hall (M = 106.6° ± 31.4°) when approaching the intersection from D2 (i.e., facing west), t(16) = 2.59, p =
525	.020, d = .629.
526	To summarize, the results of this analysis again demonstrate better navigational encoding in younger
527	adults and a higher reliance on the specific sensory input in older adults. The directions from which the older

the specific sensory input in older adults. The dir 527 528 adults were approaching the intersections partly seemed to have an impact on their performance, although variability in performance was generally quite high. 529

## Individual learning state and response time increase after direction change predict age-group 530

We next used a logistic regression model to check whether age-group can be determined based on two 531

- 532 features that characterized age-related performance differences in our task. The mean amount of learning
- across the whole experiment (i.e., difference between individual learning state estimates from consecutive 533
- learning blocks) and the change in response times from the 4th to the 5th learning block served as input 534
- features. The model performed very well to estimate the probability of being classified as a younger adult with 535
- 536 an average area under the curve (AUC) of 0.99±0.02%. Thus, those participants with a higher probability of

belonging to the younger age group show better performance on the task while a higher probability of being
in the older age group relates to poorer navigational performance, i.e., a lower mean amount of learning
across blocks and a higher increase in response times when previously learned locations are encountered from
novel viewpoints (Figure 3D).

## 541 fMRI experiment

After pre-processing of the fMRI data using fmriprep (Esteban et al., 2019) and SPM12, we performed a univariate regression analysis to identify age-related differences in neural activity in the RSC/POS and the hippocampus during different phases of the experiment. We further examined the effects of learning at the within- and between-subject level. Finally, we examined age- and learning-related differences in effective connectivity within and between the two regions.

## 547 Learning ability varies within the older age group

548 As in the behavioral experiment, older compared to younger adults spent considerably more time in the initial familiarization phase of the experiment outside of the scanner ( $M_{old}$  = 466 s ± 133 s;  $M_{young}$  = 258 s ± 57.5 s; 549 t(44.3) = -7.91, p < .001, d = 2.03. Moreover, we found significant main effects for learning block, F(7, 385) = -7.91550 32.3, p < .001,  $\eta_p^2$  = .370, and age group, F(1, 55) = 167, p < .001,  $\eta_p^2$  = .752, together with a significant 551 interaction between the two factors for the average absolute pointing errors, F(7, 385) = 11.0, p < .001,  $\eta_p^2 =$ 552 .166. This indicates that younger compared to older adults again showed better performance on the task and 553 554 stronger improvement across learning blocks (Figure 4A). Older adults, however, did show learning at the group level as confirmed by a separate ANOVA within this age group, F(7, 217) = 3.58, p = .001,  $\eta_0^2 = .103$ . 555 556 Accuracy for the control trials was at ceiling across the whole sample (mean proportion of correct responses = 0.97 ± 0.05). In contrast to the behavioral experiment, the change in directions from the first to the second half 557 558 of the experiment was omitted here due to the reduced number of trials per learning block. Thus, we did not expect changes in response times from the first to the second half of the experiment. 559

560 Individual learning state estimates as obtained from the state-space model again showed that participants varied substantially in their ability to learn the spatial layout of the VE (Figure 4B, see also Figure 561 3-1C-D for average pointing errors per learning block for each participant). To determine how neural activation 562 patterns were modulated by the individuals' overall amount of learning across the experiment, we used a K-563 564 means clustering algorithm to identify learning sub-groups based on the difference between the latent state 565 distributions of the last and first learning block. The estimated optimal number of clusters in our sample 566 turned out to be five (Figure 4C): A group of top learners (n = 9), consisting of seven younger adults and two 567 older adults, already learned the layout of the VE after the familiarization phase resulting in a small difference 568 in learning between the first and the last learning block. The second cluster exclusively consisted of younger 569 adults, categorized as good learners (n = 14). They typically reached ceiling performance during the first half of 570 the experiment with a low variance in their difference distribution. A group of *intermediate learners* (n = 9), 571 consisting of a three younger and six older adults, were still improving in the second half of the experiment and consequently exhibited the largest difference in their hidden learning state from the beginning to the end 572 of the experiment and a relatively high variance. Individuals belonging to the fourth cluster were categorized 573 as weak learners (n = 12) who showed only a small improvement across the whole experiment and a high 574

575 variability. This cluster consisted of older adults only. Finally, 12 older adults and one younger adult did not 576 show considerable improvement across the learning blocks and were consequently categorized as nonlearners (n = 13) in the context of our experiment. Difference distributions for representative individuals from 577 578 each learning sub-group, together with learning estimates and behavioral data per learning block, can be found in Figure 4-1. Although these results are specific to our sample, the same clustering analysis within the 579 580 behavioral experiment yielded comparable results in terms of the number of learning sub-groups, 581 underscoring the validity of its results in the context of our task (see Figure 4-2). 582 These between-subject differences in learning demonstrate that our task was neither too easy nor 583 too difficult for one of the age groups per se. In addition, the learning sub-groups within each age group were 584 comparable with respect to the factors age, sex or signs of major cognitive impairment (Figure 4-3). --- insert Figure 4 here ---585 586 Age-related hyperactivation in the hippocampus and RSC/POS during navigational retrieval 587 First, to identify overall age-related differences in activation patterns within the RSC/POS and the 588 hippocampus, irrespective of learning, we contrasted navigational retrieval trials to control trials. Activity in medial parts of the RSC/POS was increased in older compared to younger adults for this comparison. This age-589 related activity increase was also observed in the left anterior hippocampus (Table 1A). An age-related activity 590 reduction was found in a small cluster in the superior right POS and also in a more lateral cluster in the right 591 POS (Table 1B). Second, we tested for interactions between age group and activation differences during 592 navigational retrieval versus encoding to check whether these two phases of the experiment were differently 593 affected by age. In one cluster of the right POS as well as two clusters in the right and left anterior 594 hippocampus, activity was increased in older adults compared to younger adults during navigational retrieval 595 versus encoding (Table 1C). There were no clusters within our ROIs where activity was reduced in older adults. 596 Activations outside of our ROIs for these two comparisons and the corresponding results for the whole sample 597 can be found in Table 1-1A-G. 598 Learning-related activity changes in anterior hippocampus and RSC/POS are less pronounced in older adults 599 By using the amount of learning per block as contrast weights in our GLM, we assessed learning-related age-600 group differences in the time-course of hippocampal and RSC/POS involvement during navigational retrieval. 601 602 First, we found that activity in the anterior portion of the right hippocampus decreased in younger but less so 603 in older adults as a function of learning (Table 1D, Figure 5A). This suggests that hippocampal activity reflected the amount of spatial knowledge that was acquired after each encoding tour in the younger age 604 group. In older adults, in contrast, hippocampal activation did not change systematically across learning 605 blocks. Second, we also found several clusters within the RSC/POS ROI where activity increased over the 606 607 course of the experiment more in younger than in older adults (Table 1E, Figure 5B). This concerned the whole 608 extent of the left POS from its superior parts to the left RSC, a cluster in the right RSC/POS, and a more lateral cluster in the right POS. Activity in these clusters paralleled changes in performance across learning blocks in 609 610 the younger age group. Older adults' individual learning curves, in contrast, were again decoupled from activity changes in these regions. Activations outside of our ROIs for these comparisons and the 611 612 corresponding results for the whole sample can be found in Table 1-1H-I.

613	insert Figure 5 here
614	It is possible that the decreasing BOLD responses in the hippocampus and the increasing responses in
615	RSC/POS, which we observed in younger adults, could have been driven by younger adults becoming quicker
616	with self-localization, allowing them to compute the direction towards the target landmark at progressively
617	earlier time points. Under this scenario, one would predict a temporal shift of the BOLD response, in particular
618	for the RSC (assuming a role of the RSC/POS in deriving directional relationships between one's position and
619	landmarks). To directly test this hypothesis, we performed a control analysis using a FIR model of the
620	hemodynamic response but did not find any indications that the time bin of the peak of the HRF changed
621	between the first and the second half of the experiment, neither in the hippocampus nor in the RSC/POS ROI.
622	In the hippocampus, the median time bin was 3.75 (IQR = 1.25) in the first and 4.25 (IQR = 1.50) in the second
623	half of the experiment in younger adults (out of 8 time bins that were modeled with a 2 s duration each). In
624	older adults, the median in the first half was 4.50 (IQR = 1.44) and 4.50 (IQR = 0.75) in the second half. A two-
625	sample Wilcoxon test confirmed that these differences were not significant in any of the experiment halves (all
626	$p \ge .106$ , Bonferroni corrected, all effect sizes $r \le .262$ ). Similar results were obtained in the RSC/POS ROI with
627	a median of 4.75 (IQR = 1.00) in the first and 4.50 (IQR = 1.00) in the second half within the younger age group
628	and 4.50 (IQR = 1.19) in the first and 4.75 (IQR = 1.25) in the second half within the older age group (all $p \ge$ 1.00,
629	Bonferroni corrected, all effect sizes r $\leq$ .049). This suggests that the differential hippocampal and RSC/POS
630	dynamics in the two age groups are unlikely to be driven by changes in the onset/duration of the spatial
631	computations carried out in the two regions.
632	Learning-related activity changes across blocks are modulated by inter-individual differences in learning
•	within older adults
633	
634	Behavioral performance of older adults varied substantially, with some of them showing hidden learning

635 states similar to younger adults while others showed very little performance improvements. Therefore, we next included the individual's learning sub-group as covariate in the second-level analysis to examine in which 636 regions learning-related activity changes across blocks differed as a function of the overall learning ability of 637 638 the individual. In younger adults, no activations emerged within our ROIs or elsewhere in the brain. In older adults, however, we found that activity changes in several regions across the entire brain, including visual 639 640 cortices, the cerebellum, temporal and frontal cortices, as well as the parahippocampal cortex (PHC) 641 extending to the anterior hippocampus, were more strongly related to the individual learning curves in better performing groups (i.e., decreased across learning blocks, Figure 6, Figure 6-1). The learning curves of those 642 643 older adults who were less able to learn the layout of the environment, in contrast, were decoupled from activity changes in these regions. No activations survived our correction for multiple comparisons within our 644 645 ROIs or across the whole-brain when testing for the interactions between age group and learning sub-group. 646 --- insert Figure 6 here ---

647 <u>Age-related reduction in the inhibitory self-connection of the anterior hippocampus</u>

648 To check whether age-related problems in spatial learning are related to changes in the intrinsic excitability of

649 the anterior hippocampus and the RSC/POS or in the coupling between the two regions, we used DCM PEB

650 (Friston et al., 2016). DCM has been successfully used to determine effective connectivity changes in the 651 hippocampus and related regions during memory processing (Gluth et al., 2015). Moreover, DCM PEB offers several advantages over classical DCM variants in terms of model selection and second-level group 652 comparisons. First, instead of specifying several models at the first level and comparing their evidence, a full 653 654 model is estimated for each participant incorporating all parameters of interest, and Bayesian model 655 reduction (BMR) is performed to obtain posterior estimates of nested models in which parameters that do not contribute to the model evidence are pruned. Second, first-level DCMs are equipped with empirical priors that 656 shrink parameter estimates towards a group mean. In this way, each subject's contribution to the group PEB 657 result is weighted by their precision. Third, applying classical inference methods to examine whether certain 658 659 parameters differ between groups after model inversion ignores within-subject uncertainty (i.e., variance of 660 the posterior distributions). This is circumvented in PEB by using the full posterior density over the parameters from each participant's DCM to draw inferences about group level effects. 661

662 For each participant, we first specified and estimated a DCM between the anterior hippocampus and the POS using peak coordinates from the corresponding univariate analysis. Navigational retrieval phases 663 were modeled as driving input into the network via the POS. The amount of learning per block was modeled 664 as modulatory input on the bidirectional connections between the two regions (Figure 7A). In the second-level 665 PEB model, we included age group, learning sub-group, and their interaction as covariates to determine their 666 relative influence on the connection strengths. The left panels in Figure 7 show the group mean of the average 667 connection strength before (Figure 7B) and after BMR (Figure 7D), indicating that all four parameters were 668 669 necessary to explain our data.

With respect to age group differences in connectivity, only one parameter survived BMR (second 670 panels of Figure 7B and 7D). Specifically, older compared to younger adults had a reduced inhibitory self-671 connection strength in the anterior hippocampus, i.e., a relative disinhibition in this region. Note that for self-672 connections in the DCM framework, parameters are expressed as log scaling parameters and that the 673 regressor representing age group was coded in a way that the resulting parameter is the amount that needs to 674 675 be added to the group mean to obtain the older adults' connection strength (the group mean is obtained by 676 calculating -0.5Hz \* exp(-0.33698) = -0.357Hz and for older adults -0.5Hz \* exp(-0.33698 + -0.039719) = -0.0397190.3431Hz). Thus, our model provides evidence that the aging hippocampus seems to be more readily excited 677 by afferent activity from other regions during spatial learning. The interaction between age group and 678 learning sub-group in this model parameter also survived BMR (right panels in Figure 7B and 7D), indicating 679 680 that the hippocampal self-connection strength was more strongly modulated by the overall learning ability of the individual in the older age group. Inspection of the fourth panel in Figure 7D indicates that the age-related 681 disinhibition in this region was attenuated in better performing individuals (see also Figure 7C for posterior 682 probabilities of each parameter). We did not find any modulatory effects of the (within-subject) amount of 683 684 learning per block.

We further performed a leave-one-out (LOO) cross-validation using the model parameter denoting
the self-connection strength in the anterior hippocampus to test whether this effect would be large enough to
predict the participants' age group. In this analysis, all but one subject were used to estimate the model
parameter, which was then used to evaluate the posterior belief of the model parameter in a left-out (test)

subject. The predicted and actual between-subject effect for each test subject was then compared to derive an
independent out-of-sample correlation, which was 0.29 in the current sample (p=0.01434, Figure 7E). Thus,
the estimated intrinsic connection strength in the anterior hippocampus during spatial learning was large
enough to predict the age group of a new subject above chance level.

693

## --- insert Figure 7 here ---

## 694 Summary of the key findings

695 At the behavioral level, we found in two separate experiments that performance improvements were considerably reduced in healthy older compared to younger adults, when they were asked to retrieve the 696 spatial layout of an initially unfamiliar environment. Older adults further showed a higher uncertainty when 697 familiar locations were experienced from novel viewpoints during learning, as evidenced by a temporary 698 increase in response times. At the neural level, activity in the anterior hippocampus and RSC/POS changed 699 dynamically as a function of learning in younger adults, whereas this was not the case in older adults. 700 Importantly, a DCM PEB analysis revealed that the inhibitory self-connection of the anterior hippocampus was 701 reduced in older adults and was modulated by the overall learning ability of the individual as evidenced by an 702 interaction between age group and learning sub-group (see Figure 8 for a graphical summary of the results). 703

704

# --- insert Figure 8 here ---

## 705 DISCUSSION

706 In two experiments, we show that healthy older adults, on average, have substantial problems in learning to orient themselves in a novel, city-like virtual environment, in line with previous findings (laria et al., 2009; 707 Yamamoto and DeGirolamo, 2012). At neural levels, we could replicate earlier findings showing that activity in 708 RSC/POS increased while activity in the anterior hippocampus decreased as a function of learning in younger 709 adults (Wolbers and Büchel, 2005; Auger et al., 2015; Brodt et al., 2016), which shows that our task is suitable 710 to measure spatial learning, while using a complex photorealistic VE. In older adults, activity in these two 711 712 regions was decoupled from the amount of learning and did not change systematically across repeated 713 episodes in the environment. Importantly, we provide the first evidence that an increased excitability of the anterior hippocampus might constitute a potential neural mechanism for cognitive mapping deficits in older 714 adults. 715

In the behavioral experiment, we additionally found that older adults had problems when locations 716 are encountered from novel directions during learning. This might be related to age-related deficits in 717 distinguishing novel from familiar input (Yassa et al., 2011; Vieweg et al., 2015) and to impairments in 718 allocentric processing, because Wiener et al. (2013) observed age-related performance declines when 719 locations were approached from novel directions during route learning. Given that viewpoint transformations 720 in spatial memory involve hippocampal computations (King et al., 2002), the behavioral results already point 721 to impaired information processing within the aging hippocampus that affects navigational learning. This 722 extends findings showing that a reduced sensitivity to changes in the environment might be linked to age-723

related impairments in object-location binding and spatial perspective taking (Muffato et al., 2019; Segen etal., 2020).

In the fMRI experiment, performance relied on the knowledge about the relation between the 726 participant's own position and the position of the target landmarks, while the change in viewpoints was 727 omitted. What neural mechanisms can account for the cognitive mapping deficits in older adults? The 728 learning-related activity decrease in the anterior hippocampus of younger adults was absent in older adults, 729 leading to an overall hippocampal hyperactivity. Similar effects have been observed in studies investigating 730 age-related deficits in pattern separation (Yassa et al., 2011; Reagh et al., 2018), as well as in rodent and non-731 human primate studies on age-related changes in spatial navigation (Wilson et al., 2005; Thomé et al., 2016). 732 By examining effective connectivity, we were able to show, for the first time, that an age-related reduction in 733 the inhibitory self-connection strength of the anterior hippocampus might constitute the underlying neural 734 mechanism for the elevated signal in this region. Within the context of DCM, self-connection parameters 735 capture, at a macroscopic level, condition specific changes in the excitatory-inhibitory balance (Friston et al., 736 2017). Because effective connectivity as inferred using DCM for fMRI is typically polysynaptic, we cannot 737 determine which class of cells or synapses underlie these effects. In memory-impaired monkeys, increased 738 firing rates in CA3 place cells have been linked to a reduced number of GABAergic inhibitory interneurons 739 740 (Thomé et al., 2016). Whether this is similarly the case in humans and how this is related to AD pathogenesis are important questions for future research (Bi et al., 2020). 741

The age effect on the hippocampal self-connection strength was modulated by the learning ability of 742 the individual, suggesting that an increased hippocampal excitability might impair the formation of spatial 743 knowledge. Specifically, aberrant activity in the hippocampus could have affected the spatial resolution of the 744 emerging cognitive maps in older adults, in line with findings showing that (i) hippocampal lesion patients and 745 746 healthy older adults are impaired in forming high-resolution spatial representations when navigating novel environments (Kolarik et al., 2016; Kolarik et al., 2018; Nilakantan et al., 2018), and (ii) that reducing 747 hippocampal hyperactivity with an anti-epileptic drug that targets excitatory neurotransmission improves 748 memory performance in amnestic patients (Bakker et al., 2015; see also Koh et al., 2013; Robitsek et al., 2015 749 for related findings in rodents). Critically, in the context of our task, imprecise cognitive maps will not only 750 affect self-localization but also the ability to compute allocentric vectors to the target landmarks. The latter 751 process has also been linked to computations in sub-regions of the MTL (Chadwick et al., 2015; Shine et al., 752 2019; see also Wang et al., 2018; Høydal Ø et al., 2019). 753

In addition to hippocampal hyperactivation, older adults also exhibited a lack of learning related 754 dynamics in RSC/POS. Medial parietal cortex undergoes significant changes during aging, including increased 755 atrophy and enhanced tau deposition (Jockwitz et al., 2017; Harrison et al., 2019). Moreover, the increased 756 excitability of the aging hippocampus may impact on information processing in RSC/POS, given the close 757 758 reciprocal interactions between both regions. For example, Mao et al. (2018) found that bilateral hippocampal lesions suppress the gradual emergence of a spatial code in the RSC. In the present study, given that RSC/POS 759 760 is assumed to support the anchoring of cognitive maps to external landmarks (Epstein et al., 2017), a deficient 761 anchoring may compromise older adults' ability to precisely recover their facing direction and to orient their 762 cognitive maps when approaching the intersections. Together with the imprecision in the cognitive maps,

both deficits are likely to contribute to the compromised pointing performance in older adults.

764 Moreover, this anchoring process should occur in parallel to self-localization in our task, because as 765 soon as an intersection was visible during navigational retrieval, participants could use the local buildings 766 and/or the geometric layout to recover both their position and their facing direction. This could explain why – 767 particularly in younger adults – the latency of the BOLD response in RSC/POS did not change over the course 768 of the experiment, because the process of reorientation could start immediately at the beginning of a trial.

Our univariate results differ from Moffat et al. (2006) who measured brain activity during encoding of 769 a virtual maze and reported an age-related hypoactivation in the RSC and the hippocampus. This discrepancy 770 might be related to the timepoint when activity was measured, because if younger adults were still acquiring 771 knowledge about the VE, our findings would also predict stronger hippocampal effects compared to older 772 adults. More generally, this discrepancy highlights the need to track the learning status of an individual when 773 interpreting differences in (hippocampal) BOLD responses between groups. In addition, it is important to note 774 775 that we focused on hemodynamic changes during retrieval in our study. Thus, overall task demands could be another factor that might have contributed to our findings, because we also observed an age-related activity 776 increase in RSC/POS and hippocampus when contrasting retrieval to encoding. 777

778 Performance in our task was highly variable. While some older adults learned the layout of the environment as quickly as younger adults, others showed continuous learning, learned very slowly, or were 779 not able to retrieve relevant information to perform the task. During MRI scanning, the amount of exposure in 780 the VE was kept constant for all participants. This allowed us to replicate earlier findings in younger adults and 781 to use this as a baseline against which we could compare the results of the older adults. Therefore, we cannot 782 783 determine whether low-performing older adults would just need more time for learning. However, it seems 784 unlikely that all of them would have reached the same performance level as younger adults if provided with more time in the VE, because older adults already spent considerably more time in the initial familiarization 785 786 phase of the experiments. Using machine learning methods on MRI data of hundreds of older adults, Eavani et al. (2018) described multiple phenotypes of brain agers that are characterized by specific functional and 787 788 structural changes. The authors described one phenotype that displays atrophy in the hippocampus, decreased coherence in posterior medial parietal cortex, and an increased connectivity in the MTL. Thus, older 789 adults who show an increased excitability of the anterior hippocampus might be particularly impaired in 790 memorizing novel spatial environments. 791

Finally, by forming sub-groups of learners based on their estimated learning states and by including 792 this information in the fMRI analysis, we found that activity changes in several brain regions were decoupled 793 794 from the individual learning curves in those older adults who had more problems to learn. Although these results should be interpreted with caution given the small sample sizes of our groups, they provide further 795 796 indications that hyperactivity in the aging brain does not seem to support task performance (Morcom and Henson, 2018). We did not find any indications that the learning differences within older adults were related to 797 798 their age, sex, or their cognitive screening scores. Thus, future studies should apply additional measures, for 799 example preclinical markers for AD, to further characterize age-related deficits in spatial learning and, 800 specifically, why these abilities are preserved in some older adults.

- Taken together, increased excitability of the anterior hippocampus, together with aberrant RSC/POS
   functioning, provides a novel explanation why older adults experience problems with forming accurate spatial
- 803 representations of a novel environment. In addition, our findings add to a growing body of evidence
- 804 associating hyperactivity in the hippocampus to memory impairments in aging.

## 805 REFERENCES

- Auger SD, Zeidman P, Maguire EA (2015) A central role for the retrosplenial cortex in de novo environmental
   learning. eLife 4:e09031. doi: 10.7554/eLife.09031
- Bakker A, Albert MS, Krauss G, Speck CL, Gallagher M (2015) Response of the medial temporal lobe network
   in amnestic mild cognitive impairment to therapeutic intervention assessed by fMRI and memory task
   performance. NeuroImage: Clinical 7:688-698.
- 811 Barnes CA, Suster MS, Shen J, McNaughton BL (1997) Multistability of cognitive maps in the hippocampus of 812 old rats. Nature 388:272-275.
- Behzadi Y, Restom K, Liau J, Liu TT (2007) A component based noise correction method (CompCor) for BOLD
   and perfusion based fMRI. Neuroimage 37:90-101.
- 815 Berens P (2009) CircStat: A MATLAB toolbox for circular statistics. J Stat Softw 31:1-21.
- Bi D, Wen L, Wu Z, Shen Y (2020) GABAergic dysfunction in excitatory and inhibitory (E/I) imbalance drives the
   pathogenesis of Alzheimer's disease. Alzheimer's & Dementia 16:1312-1329.
- Braak H, Del Tredici K (2015) The preclinical phase of the pathological process underlying sporadic Alzheimer's
   disease. Brain 138:2814-2833.
- Brett M, Anton J-L, Valabregue R, Poline JB (2002) Region of interest analysis using an SPM toolbox.
   Neuroimage 16.
- Brodt S, Pöhlchen D, Flanagin VL, Glasauer S, Gais S, Schönauer M (2016) Rapid and independent memory
   formation in the parietal cortex. Proc Natl Acad Sci USA 113:13251-13256.
- Bzdok D, Heeger A, Langner R, Laird AR, Fox PT, Palomero-Gallagher N, Vogt BA, Zilles K, Eickhoff SB (2015)
   Subspecialization in the human posterior medial cortex. Neuroimage 106:55-71.
- Carpenter B, Gelman A, Hoffman MD, Lee D, Goodrich B, Betancourt M, Brubaker M, Guo J, Li P, Riddell A
   (2017) Stan: A probabilistic programming language. J Stat Softw 76:1-32.
- Chadwick MJ, Jolly AEJ, Amos DP, Hassabis D, Spiers HJ (2015) A goal direction signal in the human
   entorhinal/subicular region. Curr Biol 25:87-92.
- 830 Commandeur J, Koopman SJ (2007) Introduction to state space time series analysis. Oxford: Oxford University
   831 Press.
- Bale AM, Fischl B, Sereno MI (1999) Cortical surface-based analysis: I. Segmentation and surface
   reconstruction. Neuroimage 9:179-194.
- Baunizeau J, Stephan KE, Friston KJ (2012) Stochastic dynamic causal modelling of fMRI data: Should we care
   about neural noise? Neuroimage 62:464-481.
- Bestrieux C, Fischl B, Dale AM, Halgren E (2010) Automatic parcellation of human cortical gyri and sulci using
   standard anatomical nomenclature. Neuroimage 53:1-15.
- Biersch N, Wolbers T (2019) The potential of virtual reality for spatial navigation research across the adult
   lifespan. The Journal of Experimental Biology 222. doi: 10.1242/jeb.187252
- Eavani H, Habes M, Satterthwaite TD, An Y, Hsieh M-K, Honnorat N, Erus G, Doshi J, Ferrucci L, Beason-Held
   LL, Resnick SM, Davatzikos C (2018) Heterogeneity of structural and functional imaging patterns of advanced
   brain aging revealed via machine learning methods. Neurobiol Aging 71:41-50.
- 843 Epstein RA (2008) Parahippocampal and retrosplenial contributions to human spatial navigation. Trends Cogn
   844 Sci 12:388-396.

845 Epstein RA, Patai EZ, Julian JB, Spiers HJ (2017) The cognitive map in humans: Spatial navigation and beyond.
846 Nat Neurosci 20:1504-1513.

- 847 Esteban O, Birman D, Schaer M, Koyejo OO, Poldrack RA, Gorgolewski KJ (2017) MRIQC: Advancing the
- 848 automatic prediction of image quality in MRI from unseen sites. PLOS ONE 12:eo184661. doi:
- 849 10.1371/journal.pone.0184661
- 850 Esteban O, Markiewicz C, Blair RW, Moodie C, Isik AI, Erramuzpe Aliaga A, Kent J, Goncalves M, DuPre E,
  851 Snyder M, Oya H, Ghosh SS, Wright JD, Durnez J, Poldrack RA, Gorgolewski KJ (2019) FMRIPrep: A robust
  852 preprocessing pipeline for functional MRI. Nature Methods 16:111-116.
- Faul F, Erdfelder E, Lang A-G, Buchner A (2007) G\*Power 3: A flexible statistical power analysis program for
   the social, behavioral, and biomedical sciences. Behavior Research Methods 39:175-191.
- Fischl B, Sereno MI, Dale AM (1999) Cortical surface-based analysis: II: Inflation, flattening, and a surface-based coordinate system. Neuroimage 9:195-207.
- Fischl B, Salat DH, Busa E, Albert M, Dieterich M, Haselgrove C, van der Kouwe A, Killiany R, Kennedy D,
  Klaveness S, Montillo A, Makris N, Rosen BR, Dale AM (2002) Whole brain segmentation: Automated labeling
  of neuroanatomical structures in the human brain. Neuron 33:341-355.
- Friston KJ, Preller KH, Mathys C, Cagnan H, Heinzle J, Razi A, Zeidman P (2017) Dynamic causal modelling
   revisited. Neuroimage. doi: 10.1016/j.neuroimage.2017.02.045
- Friston KJ, Litvak V, Oswal A, Razi A, Stephan KE, van Wijk BCM, Ziegler G, Zeidman P (2016) Bayesian model
   reduction and empirical Bayes for group (DCM) studies. Neuroimage 128:413-431.
- Gelman A, Shirley K (2011) Inference from simulations and monitoring convergence. In: Handbook of Markov
   Chain Monte Carlo (Brooks S, Gelman A, Jones GL, Meng X-L, eds), pp 163-174. Boca Raton, FL: Chapman
   Hall.
- 867 Gluth S, Sommer T, Rieskamp J, Büchel C (2015) Effective connectivity between Hippocampus and
   868 ventromedial Prefrontal Cortex controls preferential choices from memory. Neuron 86:1078-1090.
- 869 Gorgolewski KJ et al. (2016) The brain imaging data structure, a format for organizing and describing outputs
   870 of neuroimaging experiments. Scientific Data 3:160044. doi: 10.1038/sdata.2016.44
- 871 Grady CL (2012) The cognitive neuroscience of ageing. Nat Rev Neurosci 13:491-505.
- 872 Grinband J, Steffener J, Razlighi QR, Stern Y (2017) BOLD neurovascular coupling does not change
- 873 significantly with normal aging. Hum Brain Mapp 38:3538-3551.
- Harrison TM, La Joie R, Maass A, Baker SL, Swinnerton K, Fenton L, Mellinger TJ, Edwards L, Pham J, Miller
   BL, Rabinovici GD, Jagust WJ (2019) Longitudinal tau accumulation and atrophy in aging and alzheimer
- 876 disease. Ann Neurol 85:229-240.
- Høydal Ø A, Skytøen ER, Andersson SO, Moser MB, Moser El (2019) Object-vector coding in the medial
  entorhinal cortex. Nature 568:400-404.
- laria G, Palermo L, Committeri G, Barton JJS (2009) Age differences in the formation and use of cognitive
   maps. Behav Brain Res 196:187-191.
- 881 Jockwitz C, Caspers S, Lux S, Jütten K, Schleicher A, Eickhoff SB, Amunts K, Zilles K (2017) Age- and function-
- related regional changes in cortical folding of the default mode network in older adults. Brain Struct Funct
   222:83-99.
- 884 Jones E, Oliphant T, Peterson P (2001) SciPy: Open source scientific tools for Python. In. <u>http://www.scipy.org</u>.

- King JA, Burgess N, Hartley T, Vargha-Khadem F, O'Keefe J (2002) Human hippocampus and viewpoint
   dependence in spatial memory. Hippocampus 12:811-820.
- Kobayashi Y, Amaral DG (2003) Macaque monkey retrosplenial cortex: II. Cortical afferents. The Journal of
   Comparative Neurology 466:48-79.
- Koh MT, Rosenzweig-Lipson S, Gallagher M (2013) Selective GABAA α 5 positive allosteric modulators
   improve cognitive function in aged rats with memory impairment. Neuropharmacology 64:145-152.
- Kolarik BS, Baer T, Shahlaie K, Yonelinas AP, Ekstrom AD (2018) Close but no cigar: Spatial precision deficits
   following medial temporal lobe lesions provide novel insight into theoretical models of navigation and
   memory. Hippocampus 28:31-41.
- Kolarik BS, Shahlaie K, Hassan AS, Borders AA, Kaufman KC, Gurkoff G, Yonelinas AP, Ekstrom AD (2016)
   Impairments in precision, rather than spatial strategy, characterize performance on the virtual Morris Water
   Maze: A case study. Neuropsychologia 80:90-101.
- Konishi K, Etchamendy N, Roy S, Marighetto A, Rajah N, Bohbot VD (2013) Decreased functional magnetic
   resonance imaging activity in the hippocampus in favor of the caudate nucleus in older adults tested in a
   virtual navigation task. Hippocampus 23:1005-1014.
- Leal SL, Landau SM, Bell RK, Jagust WJ (2017) Hippocampal activation is associated with longitudinal amyloid
   accumulation and cognitive decline. eLife 6:e22978. doi: 10.7554/eLife.22978
- Lester AW, Moffat SD, Wiener JM, Barnes CA, Wolbers T (2017) The aging navigational system. Neuron
   95:1019-1035.
- Li B, Daunizeau J, Stephan KE, Penny WD, Hu D, Friston K (2011) Generalised filtering and stochastic DCM for
   fMRI. Neuroimage 58:442-457.
- Luis CA, Keegan AP, Mullan M (2009) Cross validation of the Montreal Cognitive Assessment in community
   dwelling older adults residing in the Southeastern US. Int J Geriatr Psychiatry 24:197-201.
- Mao D, Neumann AR, Sun J, Bonin V, Mohajerani MH, McNaughton BL (2018) Hippocampus-dependent
   emergence of spatial sequence coding in retrosplenial cortex. Proc Natl Acad Sci USA. doi:
   10.1073/pnas.1803224115
- 911Miller AMP, Vedder LC, Law LM, Smith DM (2014) Cues, context, and long-term memory: The role of the912retrosplenial cortex in spatial cognition. Front Hum Neurosci 8:1-15. doi: 10.3389/fnhum.2014.00586
- 913 Moffat SD, Elkins W, Resnick SM (2006) Age differences in the neural systems supporting human allocentric 914 spatial navigation. Neurobiol Aging 27:965-972.
- Morcom AM, Henson RNA (2018) Increased Prefrontal activity with aging reflects nonspecific neural responses
   rather than compensation. J Neurosci 38:7303-7313.
- Muffato V, Hilton C, Meneghetti C, De Beni R, Wiener JM (2019) Evidence for age-related deficits in object location binding during place recognition. Hippocampus 29:971-979.
- 919 Nasreddine ZS, Phillips NA, Bédirian V, Charbonneau S, Whitehead V, Collin I, Cummings JL, Chertkow H
- (2005) The Montreal Cognitive Assessment, MoCA: A brief screening tool for mild cognitive impairment. J Am
   Geriatr Soc 53:695-699.
- 922 Nilakantan AS, Bridge DJ, VanHaerents S, Voss JL (2018) Distinguishing the precision of spatial recollection
- 923 from its success: Evidence from healthy aging and unilateral mesial temporal lobe resection.
- 924 Neuropsychologia 119:101-106.

- <u>JNeurosci Accepted Manuscript</u>
- 925 Oldfield RC (1971) The assessment and analysis of handedness: The Edinburgh inventory. Neuropsychologia
   926 9:97-113.
- 927 Patai EZ, Javadi A-H, Ozubko JD, O'Callaghan A, Ji S, Robin J, Grady C, Winocur G, Rosenbaum RS,
- 928 Moscovitch M, Spiers HJ (2019) Hippocampal and Retrosplenial goal distance coding after long-term
- consolidation of a real-world environment. Cereb Cortex. doi: 10.1093/cercor/bhz044
- 930 Pedregosa F, Varoquaux G, Gramfort A, Michel V, Thirion B, Grisel O, Blondel M, Prettenhofer P, Weiss R,
  931 Dubourg V, Vanderplas J, Passos A, Cournapeau D, Brucher M, Perrot M, Duchesnay E (2011) Scikit-learn:
  932 Machine learning in python. J Mach Learn Res 12:2825-2830.
- Poppenk J, Evensmoen HR, Moscovitch M, Nadel L (2013) Long-axis specialization of the human
   hippocampus. Trends Cogn Sci 17:230-240.
- Reagh ZM, Noche JA, Tustison NJ, Delisle D, Murray EA, Yassa MA (2018) Functional imbalance of
   anterolateral entorhinal cortex and hippocampal dentate/CA3 underlies age-related object pattern separation
- 937 deficits. Neuron 97:1187-1198.
- Robitsek J, Ratner MH, Stewart T, Eichenbaum H, Farb DH (2015) Combined administration of levetiracetam
  and valproic acid attenuates age-related hyperactivity of CA3 place cells, reduces place field area, and
  increases spatial information content in aged rat hippocampus. Hippocampus 25:1541-1555.
- Rosenbaum RS, Winocur G, Binns MA, Moscovitch M (2012) Remote spatial memory in aging: all is not lost.
  Front Ag Neurosci 4:1-10. doi: 10.3389/fnagi.2012.00025
- Rugg MD, Morcom AM (2005) The relationship between brain activity, cognitive performance and aging: The
   case of memory. In: Cognitive neuroscience of aging: Linking cognitive and cerebral aging (Cabeza R, Nyberg
   L, Park DC, eds), pp 132-154. New York, NY: Oxford University Press.
- 946 Segen V, Avraamides MN, Slattery TJ, Wiener JM (2020) Age-related differences in visual encoding and 947 response strategies contribute to spatial memory deficits. PsyArXiv. doi: 10.31234/osf.io/4qdey
- Shine JP, Valdés-Herrera JP, Tempelmann C, Wolbers T (2019) Evidence for allocentric boundary and goal
   direction information in the human entorhinal cortex and subiculum. Nature Communications 10:4004. doi:
   10.1038/s41467-019-11802-9
- 951 Smith AC, Wirth S, Suzuki WA, Brown EN (2007) Bayesian analysis of interleaved learning and response bias in
   952 behavioral experiments. J Neurophysiol 97:2516-2524.
- 953 Stan Development Team (2017) PyStan: the Python interface to Stan. In, 2.16.0.0. Edition. http://mc-stan.org.
- Thomé A, Gray DT, Erickson CA, Lipa P, Barnes CA (2016) Memory impairment in aged primates is associated
   with region-specific network dysfunction. Mol Psychiatry 21:1257.
- Vehtari A, Gelman A, Gabry J (2017) Practical Bayesian model evaluation using leave-one-out cross-validation
   and WAIC. Statistics and Computing 27:1413-1432.
- Vieweg P, Stangl M, Howard LR, Wolbers T (2015) Changes in pattern completion A key mechanism to
   explain age-related recognition memory deficits? Cortex 64:343-351.
- 960 Wang C, Chen X, Lee H, Deshmukh SS, Yoganarasimha D, Savelli F, Knierim JJ (2018) Egocentric coding of 961 external items in the lateral entorhinal cortex. Science 362:945-949.
- 962 Wiener JM, de Condappa O, Harris MA, Wolbers T (2013) Maladaptive bias for extrahippocampal navigation 963 strategies in aging humans. J Neurosci 33:6012-6017.
- 964 Wilson IA, Ikonen S, Gallagher M, Eichenbaum H, Tanila H (2005) Age-associated alterations of hippocampal 965 place cells are subregion specific. J Neurosci 25:6877-6886.

- 966 Wolbers T, Büchel C (2005) Dissociable Retrosplenial and Hippocampal contributions to successful formation 967 of survey representations. J Neurosci 25:3333-3340.
- Yamamoto N, DeGirolamo G (2012) Differential effects of aging on spatial learning through exploratory
   navigation and map reading. Front Ag Neurosci 4:1-7. doi: 10.3389/fnagi.2012.00014
- Yassa MA, Lacy JW, Stark SM, Albert MS, Gallagher M, Stark CEL (2011) Pattern separation deficits associated
   with increased hippocampal CA3 and dentate gyrus activity in nondemented older adults. Hippocampus
   21:968-979.
- 273 Zeidman P, Maguire EA (2016) Anterior hippocampus: The anatomy of perception, imagination and episodic
   memory. Nat Rev Neurosci 17:173-182.
- 275 Zeidman P, Jafarian A, Seghier ML, Litvak V, Cagnan H, Price CJ, Friston KJ (2019a) A guide to group effective 276 connectivity analysis, part 2: Second level analysis with PEB. Neuroimage 200:12-25.
- 977 Zeidman P, Jafarian A, Corbin N, Seghier ML, Razi A, Price CJ, Friston KJ (2019b) A guide to group effective
- 978 connectivity analysis, part 1: First level analysis with DCM for fMRI. Neuroimage. doi:
- 979 10.1016/j.neuroimage.2019.06.031

## 980 FIGURE LEGENDS

981 **Figure 1.** Spatial learning task.

982 (A) Procedure of the fMRI experiment. After a familiarization phase outside of the scanner, eight retrieval 983 phases, each comprising 8 navigational retrieval trials and 4 control trials, alternated with 7 encoding phases 984 during scanning. In the behavioral experiment, the structure was the same except that 12 navigational 985 retrieval trials per learning block were completed while the control trials were omitted. (B) Layout of the 986 virtual environment (VE). The VE resembled a typical German historic city center and consisted of four 987 interconnected intersections (I1-I4) that could be reached from 4 directions (D1-D4). At two intersections, a town hall (T1) and a church (T2) were placed at the end of one of the outgoing streets that served as target 988 landmarks in the navigational retrieval trials. Yellow arrows exemplify one encoding tour that started from 989 one of the target landmarks in clockwise or counterclockwise direction (a short segment of one tour is shown 990 991 in Video 1). (C) Structure of one example navigational retrieval trial to measure spatial learning. After fixation, participants were passively transported towards one of four intersections in the VE starting from one of the 992 four streets leading towards that intersection (see Video 2). Movement stopped at the center of the 993 intersection, a red crosshair appeared, and participants were asked to move the crosshair in the direction of 994 the respective target landmark. During the entire duration of the trial, a picture cue of the target landmark 995 was displayed at the bottom of the screen, and the background was obscured by fog to prevent seeing the 996 target landmarks. In the fMRI experiment, an additional jittered interval of 1s (still phase) was added after the 997 998 travel phase/before the crosshair appeared on screen.

Figure 2. Bayesian state-space model to estimate the subject-specific hidden learning state per learning block
 (see Figure 2-1 for the model code). Results of the posterior predictive checks of the model for representative
 individuals from each learning sub-group in the fMRI experiment and a histogram of the individuals' loo
 differences for the comparison of the Bayesian state-space model to an alternative model that estimated the
 individuals' learning state trial-wise is depicted in Figure 2-2.

1004 **Figure 3.** Performance data in the behavioral experiment.

(A) Average absolute pointing errors and (B) response times across the eight learning blocks in older (solid 1005 line) and younger adults (dashed line; highlighted in grey is the 4th and 5th learning block where the change in 1006 1007 directions took place from which the intersections were approached). Error bars denote standard errors of the means (SE). See also Figure 3-1A-B for average pointing errors per learning block for each participant in each 1008 age group. (C) Mean estimated performance improvement (hidden learning state) of each participant in the 1009 older (orange) and younger (grey) age group, including the standard deviation (SD) of the posterior 1010 1011 distributions (shaded area) across learning blocks. (D) Logistic regression results classifying age group 1012 membership based on two behavioral performance features, i.e., the mean amount of learning across the 1013 experiment and the increase in response times after the first half of the experiment. Shaded lines depict the 1014 probability of being classified as a younger adult.

1015 **Figure 4.** Performance data in the fMRI experiment.

1016 (A) Average absolute pointing errors across the eight learning blocks in older (solid line) and younger adults (dashed line). Error bars denote standard errors of the means (SE). See also Figure 3-1C-D for average pointing 1017 1018 errors per learning block for each participant in each age group. (B) Mean estimated performance improvement (hidden learning state) of each participant in the older (orange) and younger (grey) age group, 1019 1020 including the standard deviation (SD) of the posterior distributions (shaded area) across learning blocks. (C) 1021 Learning sub-groups as identified by a K-means clustering algorithm based on the individuals' overall amount of learning and its SD, as determined by the difference of the latent state distributions of the last and first 1022 learning block. See Figure 4-1 for difference distributions, learning state estimates, and performance data per 1023 1024 learning block for representative individuals from each learning sub-group and Figure 4-2 for the results of the 1025 same clustering analysis within the sample of the behavioral experiment.

Figure 5. Interaction effects between age group and the amount of learning per block during navigational 1026 retrieval. Age-related differences in (A) hippocampal activity decreases and (B) RSC/POS activity increases 1027 across the experiment. Activations are displayed on the 2009 nonlinear asymmetric MNI template that was 1028 used for normalization (p < 0.05, FWE-corrected for the respective ROI). Plots depict average parameter 1029 estimates of the respective peak voxels per learning block in selected clusters for each age group. Error bars 1030 indicate the across-subject standard error of the mean. See Table 1 for the spatial coordinates of the local 1031 maxima in the hippocampus and RSC/POS ROIs and Table 1-1 for significantly activated clusters elsewhere in 1032 1033 the brain.

Figure 6. Differential activity changes in relation to the amount of learning per block between learning sub groups in the older age group. Activations are displayed on the 2009 nonlinear asymmetric MNI template that
 was used for normalization (p < 0.05, FWE-corrected). See Figure 6-1 for the spatial coordinates of the local</li>
 maxima.

1038 Figure 7. Results of the DCM PEB analysis. (A) First-level DCM specification to determine average connectivity within and between anterior hippocampus and POS. Navigational retrieval phases were modeled as driving 1039 input entering the cortical network via the POS, and the amount of learning per block was included as 1040 1041 modulatory input on the bidirectional connections between the regions. Estimated Parameters (1: selfconnection POS, 2: POS – hippocampus connection, 3: hippocampus – POS connection, 4: self-connection 1042 hippocampus) (B) before and (D) after Bayesian model reduction (BMR) for each covariate (age group, 1043 learning group, interaction between age group and learning group) in the second-level PEB model. Grey bars 1044 1045 represent parameter means and pink lines their 95% confidence intervals. The parameters for self-connections 1046 (parameter 1 and 4) are expressed as log scaling parameters that can be converted to Hz using  $x_Hz = -0.5 *$ exp(x) whereby x is the log scaling parameter and -0.5 Hz the prior. (C) Posterior probabilities per parameter 1047 1048 for each second-level covariate after BMR, (E) Predicted age group of each participant as derived from a LOO 1049 cross-validation scheme based on the estimated self-connection strength in the anterior hippocampus.

1050 Figure 8. Key findings of the two experiments.

1051 Video 1. Exemplary segment of one of the tours through the virtual environment in the encoding phases of1052 the two experiments.

1053 Video 2. Example for a pointing trial in the retrieval phases of the two experiments.

# 1054 TABLES

1055 Table 1. Spatial coordinates of the local maxima in the hippocampus and RSC/POS ROIs in the fMRI analyses

1056 on age-related differences in neural activation patterns (p < 0.05, FWE-corr.). See Table 1-1 for significantly

1057 activated clusters elsewhere in the brain.

	Brain region	Cluster size	MNI coordinate			Z-score	_	
			х	У	Z			
A)	Increased activity in older compared to younger adults during navigation vs. control							
	L POS	83	-3	-60	34	4.56		
	L RSC		-6	-57	21	4.32		
	L Hippocampus	72	-21	-18	-12	4.76		
			-30	-15	-22	4.21		
B)	Reduced activity in older compared to younger adults during navigation vs. control							
	R POS	22	12	-69	54	4.00		
			18	-69	57	3.94		
			15	-75	51	3.25		
	R POS	55	27	-60	24	3.96		
C)	Increased activity in older compared to younger adults during retrieval vs. encoding							
	R POS	27	12	-51	34	4.03		
	L Hippocampus	33	-30	-15	-15	4.08		
	R Hippocampus	20	24	-12	-12	3-97		
D)	Age-group differences in learning-related 🚟 ctivity decreases							
	R Hippocampus	20	24	-18	-15	4.55		
E)	Age-group differences in learning-related 🚟 ctivity increases							
	L POS	462	-9	-66	24	5.93		
			-24	-72	47	5.37		
	L RSC		-18	-57	1	4.14		
			-6	-63	11	3.98		
	R POS	148	24	-69	47	4-95		
			21	-72	54	4.73		
	R RSC	205	9	-57	4	4.82		
			9	-63	21	4.59		

1058

# 1 TABLE AND FIGURE LEGENDS

Table 1-1, related to Table 1 and Figure 5: Spatial coordinates of the local maxima in the whole-brain fMRI analyses on
 age-related differences in neural activation patterns (p < 0.05, whole-brain FWE-corr.).</li>

4 Figure 2-1, related to Figure 2 and Bayesian Modeling of Performance Data section: Stan code of the Bayesian state-

5 space model.

8

6 Figure 2-2, related to Figure 2 and Bayesian Modeling of Performance Data section: Results of the posterior predictive

7 checks of the Bayesian state-space model for representative individuals from each learning sub-group (A: top learner

young – E: non-learner old; see <u>Performance Clustering</u> section; the posterior predictive samples distribution,  $y_{rep}$ , plotted

9 together with the observed data points, y, per learning block) and (F) histogram of the individuals' loo differences for the

10 comparison of the Bayesian state-space model incorporating the effects of the responses,  $\eta$ , to an alternative model that

estimated the individuals' learning state trial-wise. More positive values indicate a better fit of the first model.

Figure 3-1, related to Figure 3 and 4: Average absolute pointing errors per learning block for each participant in (A) the younger and (B) the older age group in the behavioral experiment, and for each participant in (C) the younger and (D) the older age group in the fMRI experiment.

Figure 4-1, related to Figure 4 and <u>Performance Clustering</u> section: Definition of learning sub-groups. Hidden learning
 states (including SD) and trial-wise performance data per learning block (left) and the latent state distributions of the last
 and first learning block plotted together with the difference distribution (right) from representative individuals from each
 learning sub-group in the fMRI experiment.

19 Figure 4-2, related to Figure 4 and <u>Performance Clustering</u> section: Learning sub-groups in the behavioral experiment as

20 identified by a K-means clustering algorithm based on the individuals' overall amount of learning and its SD, as

determined by the difference of the latent state distributions of the last and first learning block. Results are shown for (A) 5

and (B) 6 learning clusters that yielded similar silhouette scores (respective mean silhouette scores per tested cluster

23 number: 3: 0.232, 4: 0.293, 5: 0.400, 6: 0.404, 7: 0.370).

Figure 4-3, related to Figure 4 and <u>Performance Clustering</u> section: Key demographics of the learning sub-groups within
 each age group in the fMRI experiment.

- Figure 5-1, related to Figure 5 and Table 1: Spatial coordinates of the local maxima in the whole-brain fMRI analyses on
   age-related differences in neural activation patterns (p < 0.05, whole-brain FWE-corr.).</li>
- 28 Figure 6-1, related to Figure 6: Spatial coordinates of the local maxima in the fMRI analyses on inter-individual
- 29 differences in neural activation patterns across learning blocks within older adults (p < 0.05, FWE-corr.).

30















